

# Generating and Validating Synthetic Kernel Traces Using Diffusion Models

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# The Problem & Motivation

## Problems:

- Modern AIOps systems require high-fidelity kernel traces for:
- Scheduling decisions, memory allocations, I/O operations (microsecond precision)
- Training diagnostic and trace-driven ML models
- Root cause analysis and MTTR reduction

## Three Key Barriers:

- **Production overhead:** Tracing adds 1.5–1.6× runtime cost → infeasible for latency-sensitive services
- **Privacy constraints:** Traces contain sensitive file paths, network endpoints → violate data retention policies
- **Long-tail diversity:** Real traces miss rare failure modes valuable for training

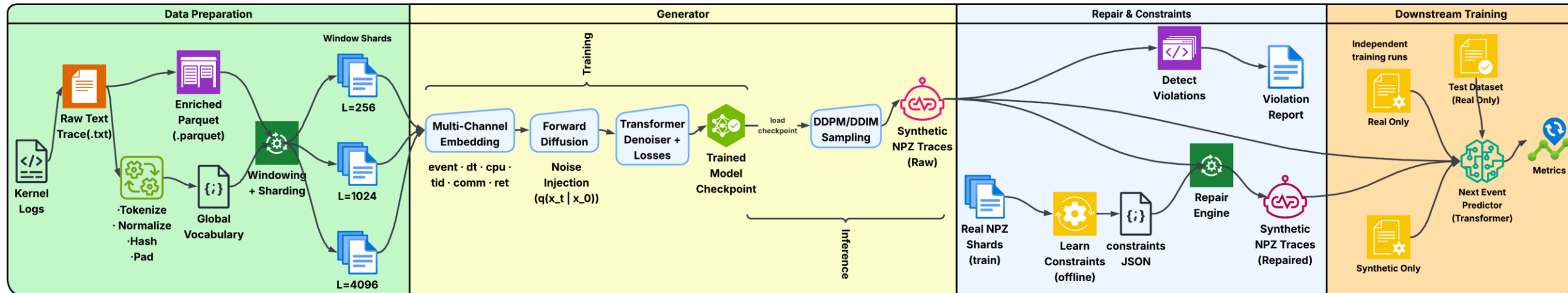
# Why Existing Approaches Fall Short

| Approach                                  | Limitation  | Impact                                 |
|---|---|--|
| <b>Statistical models</b> (Markov chains) | Can't capture long-range dependencies or multi-attribute correlations   | Locally valid but globally implausible |
| <b>Rule-based generators</b>              | Require substantial domain expertise; don't generalize across workloads | Labor-intensive, brittle               |
| <b>GANs</b> (SeqGAN, MaliGAN)             | Violate chronology and event coherence even when syntactically correct  | Unreliable semantic correctness        |

# Experimental Setup

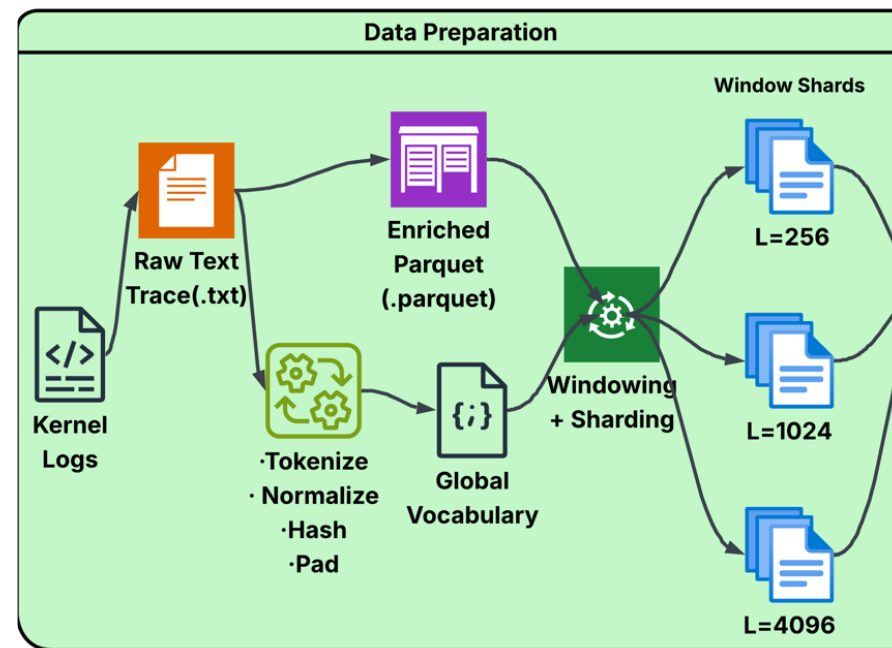
## 4 Stages

- Data Preparation
- Generation
- Repair and Constraints
- Downstream Training



# Data Preprocessing

| Channel      | Collection Method                  | ID Assignment                           | Special Tokens   | Vocab Size  |
|--------------|------------------------------------|---|--|-------------|
| <b>event</b> | Scan all traces, count frequency   | Sort by frequency (0 → most common)     | —  | 384         |
| <b>dt</b>    | Scan all traces                    | $\log(1 + \Delta t)$                    | —  | —           |
| <b>comm</b>  | Extract process names from Parquet | Sort by frequency, start at ID 2        | $\langle \text{PAD} \rangle = 0$ ,<br>$\langle \text{UNK} \rangle = 1$ | 123         |
| <b>ret</b>   | Extract return values, keep Top-K  | Assign Top-1024 IDs from 2              | $\langle \text{PAD} \rangle = 0$ ,<br>$\langle \text{UNK} \rangle = 1$ | 1026        |
| <b>tid</b>   | Raw thread IDs                     | Hash to buckets:<br>$\text{tid} \% 256$ | —  | 256 buckets |
| <b>cpu</b>   | CPU core IDs                       | Direct encoding (0–3)                   | —  | 4           |



# Diffusion Model Architecture (DDPM)

- **Core Idea**

- Learn data distribution by **denoising noise** → **data**
- Train to reverse a gradual **Gaussian** **noising process**

- **Forward (Noising) Process**

- Add noise over  $T$  steps

$$x_t = \sqrt{\alpha_t} h_0 + \sqrt{1 - \alpha_t} \epsilon, \epsilon \sim \mathcal{N}(0, I)$$

- **Reverse (Denoising) Process**

- Neural network  $\epsilon_\theta$  predicts noise

$$\hat{\epsilon} = \epsilon_\theta(x_t, t)$$

- Recover clean signal

$$\hat{x}_0 = \frac{x_t - \sqrt{1 - \alpha_t} \hat{\epsilon}}{\sqrt{\alpha_t}}$$

- **Model Architecture**

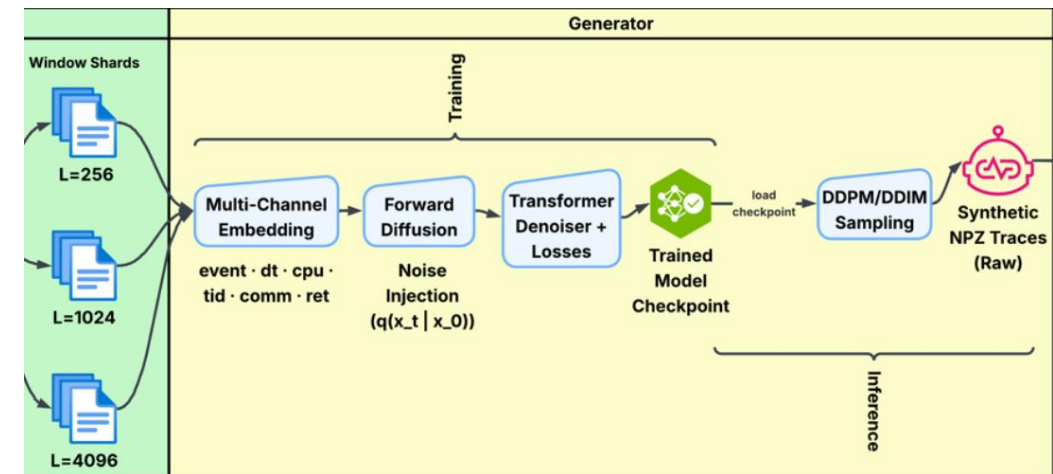
- Input: noisy sample  $x_t$  + timestep  $t$
- Backbone: **U-Net / Transformer**
- Timestep embedding conditions the network

- **Training Objective**

$$\mathcal{L} = \mathbb{E}[\| \epsilon - \epsilon_\theta(x_t, t) \|^2]$$

- **Sampling**

- Start from pure noise  $x_T \sim \mathcal{N}(0, I)$
- Iteratively denoise  $T \rightarrow 0$



# Repairing Synthetic Data

- Generative Model
  - Can be semantically incorrect
- Fix:
  - Invalid transitions
  - Temporal violations
  - Attribute inconsistencies
- 4 Classes of constraints from real shards:
  - **Event transitions:**
    - a directed graph  $G=(V,E)$ , where  $(e_i, e_j) \in E$  if  $e_j$  follows  $e_i$  in real traces
  - **Temporal bounds:**
    - min & max inter-event deltas per event type
  - **CPU affinity:**
    - allowed CPU sets per event type
  - **Attribute validity:**
    - Allowed values for tid, comm, and ret conditioned on event type.

*Constraint-based distance metrics.* We quantify synthetic trace validity using four distance metrics. *Transition distance* measures invalid event pairs:

$$D_{\text{trans}}(\hat{X}) = 1 - \frac{1}{|\hat{X}| - 1} \sum_t \mathbb{I}[(\hat{e}_t, \hat{e}_{t+1}) \in \mathcal{G}].$$

*Temporal distance* measures timing violations:

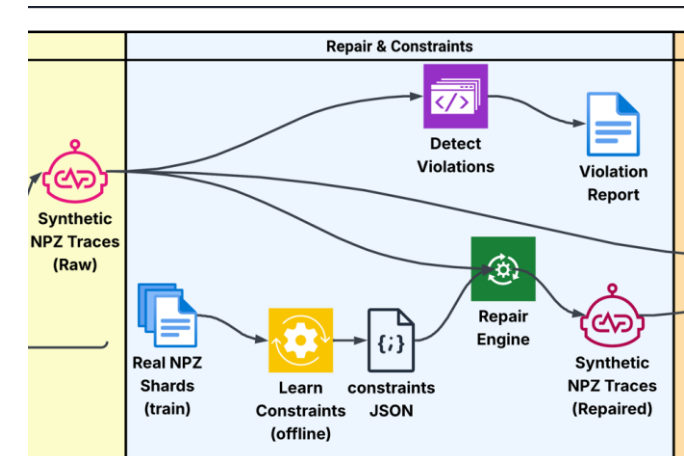
$$D_{\text{time}}(\hat{X}) = \frac{1}{|\hat{X}|} \sum_t \mathbb{I}[\Delta t_t \notin [\min_e, \max_e]].$$

*CPU affinity distance* measures invalid CPU assignments:

$$D_{\text{cpu}}(\hat{X}) = \frac{1}{|\hat{X}|} \sum_t \mathbb{I}[c\hat{p}u_t \notin C_{\hat{e}_t}].$$

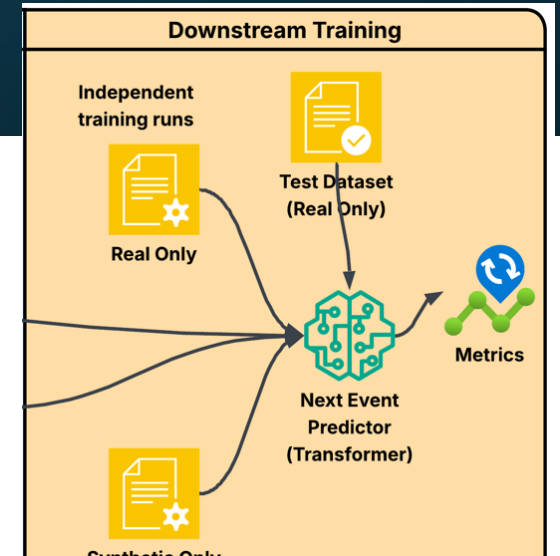
*Attribute validity distance* aggregates categorical violations:

$$D_{\text{attr}}(\hat{X}) = \frac{1}{|\hat{X}|} \sum_t \mathbb{I}[\exists a \in \mathcal{A} : \hat{a}_t \notin \mathcal{V}_{\hat{e}_t}^{(a)}].$$



# Downstream Task – Next Event Prediction

- What We're Testing:
  - Task: Next-event prediction (384-way classification)
  - Input: Sequence of 128 kernel events
  - Goal: Predict what event happens next
  - Test Set: Real data only (never seen before)
- Model Architecture:
  - Transformer encoder (4 layers, 8 heads, d\_model=256)
  - Multi-channel inputs: Event type, timing, CPU, thread ID, command, return values
  - Training: 20 epochs with early stopping (patience=5)
- Metrics:
  - Primary: macro F1
  - Secondary: weighted F1, accuracy, and Top-K accuracy.



| Config                               | Training Data            | Purpose                     |
|--------------------------------------|--------------------------|-----------------------------|
| <b>Real-Only</b>                     | 100% real                | Baseline performance        |
| <b>Combined (50/50) (Unrepaired)</b> | 100% synthetic + repair  | Can synthetic replace real? |
| <b>Combined (50/50) (Repaired)</b>   | 50% real + 50% synthetic | Can augmentation help?      |



# RQ1 - When Can Synthetic Traces Safely Augment Real Data?

Table 2: RQ1: Performance trade-offs when doubling the training dataset size using synthetic data. We compare training on real data (Real-only) with training on data composed of 50% real and 50% synthetic traces (Combined).  $\Delta F1$  reports the change in macro-F1 score introduced by synthetic augmentation across workloads and context lengths.

| Benchmark    | L=256        |              |               | L=1024       |              |               | L=4096       |              |               |
|--------------|--------------|--------------|---------------|--------------|--------------|---------------|--------------|--------------|---------------|
|              | Real         | Combined     | $\Delta F1$   | Real         | Combined     | $\Delta F1$   | Real         | Combined     | $\Delta F1$   |
| ffmpeg       | 69.9%        | 32.0%        | -37.9%        | 82.9%        | 60.1%        | -22.8%        | 81.5%        | 64.4%        | -17.1%        |
| iozone       | 64.0%        | 19.9%        | -44.1%        | 67.7%        | 34.8%        | -32.9%        | 69.3%        | 40.8%        | -28.5%        |
| pybench      | 70.6%        | 41.8%        | -28.8%        | 89.6%        | 69.7%        | -19.9%        | 88.6%        | 78.3%        | -10.3%        |
| scimark2     | 72.0%        | 40.6%        | -31.4%        | 88.5%        | 68.0%        | -20.5%        | 89.8%        | <b>87.2%</b> | <b>-2.6%</b>  |
| stream       | 68.5%        | 17.6%        | -50.9%        | 70.5%        | 40.7%        | -29.8%        | 69.7%        | 44.9%        | -24.8%        |
| unpack-linux | 63.4%        | 27.8%        | -35.6%        | 69.1%        | 44.3%        | -24.8%        | —            | 43.8%        | —             |
| Average      | <b>68.1%</b> | <b>30.0%</b> | <b>-38.1%</b> | <b>78.0%</b> | <b>52.9%</b> | <b>-25.1%</b> | <b>79.8%</b> | <b>59.9%</b> | <b>-17.7%</b> |

Table 3: RQ1 (Secondary Metrics): Weighted F1, accuracy, and Top-K accuracy for the Combined (50% real + 50% synthetic) configuration across workloads and context lengths.

| Benchmark    | L=256        |              |              |              | L=1024       |              |              |              | L=4096       |              |              |              |
|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
|              | F1-W         | Acc          | Top-5        | Top-10       | F1-W         | Acc          | Top-5        | Top-10       | F1-W         | Acc          | Top-5        | Top-10       |
| ffmpeg       | 85.9%        | 86.6%        | 95.8%        | 97.4%        | 91.9%        | 92.1%        | 98.6%        | 99.2%        | 93.8%        | 93.9%        | 99.4%        | 99.7%        |
| iozone       | 84.4%        | 84.7%        | 95.2%        | 96.9%        | 89.6%        | 89.7%        | 98.2%        | 99.1%        | 92.8%        | 92.9%        | 99.3%        | 99.6%        |
| pybench      | 87.4%        | 87.8%        | 95.2%        | 96.6%        | 94.2%        | 94.3%        | 98.6%        | 99.2%        | 96.1%        | 96.2%        | 99.6%        | 99.8%        |
| scimark2     | 87.0%        | 87.5%        | 95.1%        | 96.5%        | 93.8%        | 93.8%        | 98.5%        | 99.1%        | 96.9%        | 97.0%        | 99.7%        | 99.8%        |
| stream       | 84.0%        | 84.5%        | 98.0%        | 98.5%        | 88.3%        | 88.4%        | 99.2%        | 99.5%        | 89.8%        | 89.9%        | 99.6%        | 99.8%        |
| unpack-linux | 85.3%        | 85.6%        | 95.1%        | 96.8%        | 90.5%        | 90.6%        | 98.2%        | 99.0%        | 92.9%        | 93.0%        | 99.3%        | 99.7%        |
| Average      | <b>85.7%</b> | <b>86.1%</b> | <b>95.7%</b> | <b>97.1%</b> | <b>91.4%</b> | <b>91.5%</b> | <b>98.5%</b> | <b>99.2%</b> | <b>93.7%</b> | <b>93.8%</b> | <b>99.5%</b> | <b>99.7%</b> |

# RQ2 - Does Constraint-Guided Repair Help?

Table 4: RQ2: Effect of constraint-guided repair across benchmarks and context lengths. We compare Combined (No Repair) and Combined (Repaired) configurations.  $\Delta F1$  reports the change in macro-F1 score introduced by applying constraint-guided repair.

| Benchmark      | L=256        |              |              |              | L=1024       |              |              |              | L=4096       |              |              |              |
|----------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
|                | No Rep.      | Repaired     | $\Delta F1$  | Rel.         | No Rep.      | Repaired     | $\Delta F1$  | Rel.         | No Rep.      | Repaired     | $\Delta F1$  | Rel.         |
| ffmpeg         | 33.2%        | 32.0%        | -1.2%        | -3.6%        | 60.2%        | 60.1%        | -0.1%        | -0.2%        | 65.6%        | 64.4%        | -1.2%        | -1.8%        |
| iozone         | 19.5%        | 19.9%        | <b>+0.4%</b> | <b>+2.0%</b> | 35.0%        | 34.8%        | -0.2%        | -0.6%        | 41.3%        | 40.8%        | -0.5%        | -1.2%        |
| pybench        | 40.1%        | 41.8%        | <b>+1.6%</b> | <b>+4.1%</b> | 69.7%        | 69.7%        | +0.0%        | +0.0%        | 78.0%        | 78.3%        | +0.3%        | +0.3%        |
| scimark2       | 38.9%        | 40.6%        | <b>+1.7%</b> | <b>+4.3%</b> | 67.7%        | 68.0%        | +0.3%        | +0.4%        | 87.0%        | 87.2%        | +0.2%        | +0.3%        |
| stream         | 17.2%        | 17.6%        | +0.3%        | +1.8%        | 39.5%        | 40.7%        | <b>+1.2%</b> | <b>+3.1%</b> | 44.2%        | 44.9%        | +0.7%        | +1.7%        |
| unpack-linux   | 27.4%        | 27.8%        | +0.4%        | +1.4%        | 43.9%        | 44.3%        | +0.4%        | +1.0%        | 58.0%        | 43.8%        | -14.2%*      | -24.6%*      |
| <b>Average</b> | <b>29.4%</b> | <b>30.0%</b> | <b>+0.5%</b> | <b>+1.5%</b> | <b>52.7%</b> | <b>52.9%</b> | <b>+0.3%</b> | <b>+0.6%</b> | <b>62.4%</b> | <b>59.9%</b> | <b>-2.5%</b> | <b>-4.2%</b> |

\*Anomaly in unpack-linux L=4096; isolated outlier likely due to dataset or trace-specific irregularities.

RQ3 - How does increasing diffusion model context length improve synthetic data quality?

Table 5: RQ3: Effect of diffusion model context length on synthetic data quality. All results use the Combined (Repaired) configuration.  $\Delta F1$  denotes the absolute macro-F1 change from  $L = 256$  to  $L = 4096$ , and Rel. Gain the corresponding relative improvement.

| Benchmark    | L=256 | L=1024 | L=4096 | $\Delta F1$ (256 $\rightarrow$ 4096) | Rel. Gain    |
|--------------|-------|--------|--------|--------------------------------------|--------------|
| ffmpeg       | 32.0% | 60.1%  | 64.4%  | +32.3%                               | +101%        |
| iozone       | 19.9% | 34.8%  | 40.8%  | +20.9%                               | +105%        |
| pybench      | 41.8% | 69.7%  | 78.3%  | +36.5%                               | +87%         |
| scimark2     | 40.6% | 68.0%  | 87.2%  | <b>+46.6%</b>                        | <b>+115%</b> |
| stream       | 17.6% | 40.7%  | 44.9%  | +27.4%                               | +156%        |
| unpack-linux | 27.8% | 44.3%  | 43.8%  | +16.0%                               | +57%         |
| Average      | 30.0% | 52.9%  | 59.9%  | <b>+29.9%</b>                        | <b>+104%</b> |

## RQ4 - Ablation study

Table 6: RQ4: Cross-model ablation results (macro-F1 %). Rows correspond to diffusion model feature sets and columns to downstream predictor features. All results use Combined (Repaired) with  $L = 4096$ . Bold indicates the best configuration per benchmark; *italic* indicates within 1% of best.

| Benchmark | Diffusion Model | event        | event+dt     | event+dt+cpu+tid | all 6        |
|-----------|-----------------|--------------|--------------|------------------|--------------|
| ffmpeg    | Base (2 ch)     | 60.6%        | <b>61.8%</b> | —                | —            |
|           | System (4 ch)   | 60.8%        | <i>61.7%</i> | 60.5%            | —            |
|           | Full (6 ch)     | 60.8%        | 60.9%        | 59.7%            | 58.9%        |
| pybench   | Base (2 ch)     | <b>71.3%</b> | 70.6%        | —                | —            |
|           | System (4 ch)   | 70.3%        | 70.9%        | <i>71.0%</i>     | —            |
|           | Full (6 ch)     | 70.0%        | <i>71.2%</i> | <i>71.2%</i>     | 70.6%        |
| scimark2  | Base (2 ch)     | 67.9%        | 68.5%        | —                | —            |
|           | System (4 ch)   | 67.8%        | 65.5%        | 67.0%            | —            |
|           | Full (6 ch)     | 67.5%        | 68.9%        | 68.8%            | <b>69.4%</b> |

# Discussion and Implications



## Model Viability

Diffusion models can generate realistic system traces without explicit determinism

Performance degrades mainly when hidden external state dominates behavior



## Design Implications

Temporal context is the primary driver of realism

Rich feature engineering provides diminishing returns

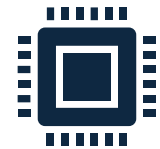
Simpler inputs with longer context are preferable



## Learning & Repair

Models implicitly learn many system constraints at scale

Explicit repair mechanisms are most useful under uncertainty or limited context



## System Integration

Suitable for fuzz testing and robustness evaluation

Enables privacy-preserving trace sharing

Effective for rare-event amplification and dataset balancing

Thank you